

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **TRANSGLUTAMINASE LINKAGE OF AGENTS TO TISSUE**, the specification of which was filed on July 22, 1999, as United States Application No. 09/359,920, bearing attorney docket No. H0535/7009.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or section 365(a) of any PCT International application designating at least one country other than the United States listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign PCT International Application(s) and any priority claims under 35 U.S.C. §119 and §365(a), (b):

(Number)	(Country-if PCT, so indicate)	(DD/MM/YY Filed)	Priority Claimed
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

<u>60/071,908</u>	<u>01/20/98</u>
(Application Number)	(filing date)
<u>                    </u>	<u>                    </u>
(Application Number)	(filing date)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

<u>09/234,358</u>	<u>01/20/99</u>	<u>Pending</u>
(Application No.)	(filing date)	(status-patented, pending, abandoned)
<u>                    </u>	<u>                    </u>	<u>                    </u>
(Application No.)	(filing date)	(status-patented, pending, abandoned)

PCT International Applications designating the United States:

<u>                    </u>	<u>                    </u>	<u>                    </u>
(PCT Appl. No.)	(U.S. Ser. No.)	(PCT filing date) (status-patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

David Wolf	17,528	Peter J. Gordon	35,164	Robert A. Skrivanek, Jr.	41,316
George L. Greenfield	17,756	Randy J. Pritzker	35,986	Robert M. Abrahamsen	40,886
Stanley Sacks	19,000	Richard F. Giunta	36,149	Ivan D. Zitkovsky	37,482
Edward F. Perlman	28,105	Douglas R. Wolf	36,971	Michele J. Young	43,299
Lawrence M. Green	29,384	Elizabeth R. Plumer	36,637	Edward Russavage	43,069
Steven J. Henry	27,900	Timothy J. Oyer	36,628	Alan B. Sherr	42,147
Therese A. Hendricks	30,389	John N. Anastasi	37,765	John C. Gorecki	38,471
Edward R. Gates	31,616	Helen C. Lockhart	39,248	William G. Gosz	27,787
William R. McClellan	29,409	James M. Hanifin, Jr.	38,213	Neil P. Ferraro	39,188
Ronald J. Kransdorf	20,004	Christopher S. Schultz	37,929	Julie A. Beberman	40,906
M. Lawrence Oliverio	30,915	Paul D. Sorkin	39,039	Lisa E. Winsor	44,405
Jason M. Honeyman	31,624	John R. Van Amsterdam	40,212	Robert Plotkin	43,861
James H. Morris	34,681	Matthew B. Lowrie	38,228	Joseph Teja, Jr.	P-45,157
Peter C. Lando	34,654	Michael G. Verga	39,410	Alan W. Steele	P-45,128
Gary S. Engelson	35,128	Robert E. Rigby, Jr.	36,904	Jeffrey B. Powers	P-45,021

Address all telephone calls to Edward R. Gates at telephone no. (617) 720-3500. Address all correspondence to:

Edward R. Gates  
c/o Wolf, Greenfield & Sacks, P.C.,  
Federal Reserve Plaza  
600 Atlantic Avenue  
Boston, MA 02210-2211

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

*Howard Green*

*August 23, 1999*

Inventor's signature \_\_\_\_\_  
Full name of sole or first inventor Howard Green  
Citizenship US  
Residence (City and State, or City and Country for non-U.S. residence)  
Brookline, MA  
Post Office Address 82 Williston Street, Brookline, MA 02146

Date

Inventor's signature \_\_\_\_\_  
Full name of second or joint inventor Bruce Compton  
Citizenship US  
Residence (City and State, or City and Country for non-U.S. residence)  
Lexington, MA  
Post Office Address 30 Cottage Street, Lexington MA 02173

Date

Inventor's signature \_\_\_\_\_  
Full name of third or joint inventor George Corey  
Citizenship US  
Residence (City and State, or City and Country for non-U.S. residence)  
Newton, MA  
Post Office Address 65 Harding Street, Newton MA 02165

Date

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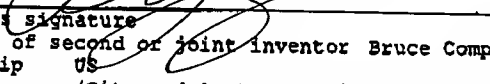
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Inventor's signature \_\_\_\_\_  
Full name of sole or first inventor Howard Green  
Citizenship US  
Residence (City and State, or City and Country for non-U.S. residence)  
Brookline, MA  
Post Office Address 82 Williston Street, Brookline, MA 02146

Date \_\_\_\_\_

Inventor's signature   
Full name of second or joint inventor Bruce Compton  
Citizenship US  
Residence (City and State, or City and Country for non-U.S. residence)  
Lexington, MA  
Post Office Address 30 Cottage Street, Lexington MA 02173

Date Oct. 14, 1999

Inventor's signature \_\_\_\_\_  
Full name of third or joint inventor George Corey  
Citizenship US  
Residence (City and State, or City and Country for non-U.S. residence)  
Newton, MA  
Post Office Address 65 Harding Street, Newton MA 02165

Date \_\_\_\_\_

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Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
Full name of sole or first inventor Howard Green  
Citizenship US  
Residence (City and State, or City and Country for non-U.S. residence)  
Brookline, MA  
Post Office Address 82 Williston Street, Brookline, MA 02146

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
Full name of second or joint inventor Bruce Compton  
Citizenship US  
Residence (City and State, or City and Country for non-U.S. residence)  
Lexington, MA  
Post Office Address 30 Cottage Street, Lexington MA 02173

*George Corey*  
Inventor's signature \_\_\_\_\_ Date *Oct. 17, 1999*  
Full name of third or joint inventor George Corey  
Citizenship US  
Residence (City and State, or City and Country for non-U.S. residence)  
Newton, MA  
Post Office Address 65 Harding Street, Newton MA 02165

*Philippe Djan*  
Inventor's signature  
Full name of second or joint Inventor Philippe Djan  
Citizenship France  
Residence (City and State, or City and Country for non-U.S. residence)  
Paris, France  
Post Office Address 170 rue de la Convention, 75013 Paris, France

*Oct 19, 1999*  
Date



Attorney's Docket No. **H0535/7009(ERG)**

Applicant or Patentee: **Howard Green et al.,**  
Serial or Patent No: **09/359,920**  
Filed or Issued: **July 22, 1999**  
For: **TRANSGLUTAMINASE LINKAGE OF AGENTS TO TISSUE**

**DECLARATION CLAIMING SMALL ENTITY STATUS  
(SMALL BUSINESS CONCERN)**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: **PERICOR SCIENCE, INC.**  
ADDRESS OF CONCERN: **82 Williston Street, Brookline, MA 02146**

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled **TRANSGLUTAMINASE LINKAGE OF AGENTS TO TISSUE** by inventor(s), **Howard Green et al.**, described in

- ☐ the specification filed herewith  
☒ application serial no. **09/359,920**, filed **July 22, 1999**  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_.

If the rights held by the small business concern are not exclusive, each individual concern or organization having rights to the invention is listed below\* and no rights to the invention are held

by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

- ☐ no such person, concern, or organization  
☒ persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME

ADDRESS

☒ INDIVIDUAL    ☐ SMALL BUSINESS CONCERN    ☐ NONPROFIT ORGANIZATION

**Howard Green**  
82 Williston Street  
Brookline, MA 02146

**George D. Corey**  
65 Harding Street  
Newton, MA 02165

**Bruce J. Compton**  
30 Cottage Street  
Lexington, MA 02173

**Philippe Djian**  
170 rue de la Convention  
75015, Paris, FRANCE

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

TITLE OF PERSON IF OTHER THAN OWNER:

ADDRESS OF PERSON SIGNING:

**Dr. Henry Lewis**

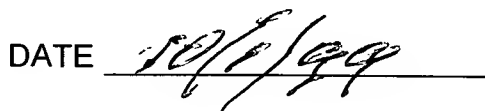
**President, Pericor Science, Inc.**

**82 Williston Street, Brookline, MA 02146**

SIGNATURE

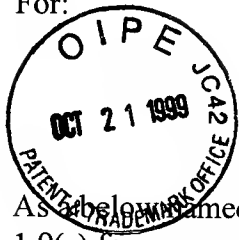


DATE



Attorney's Docket No. H0535/7009 (ERG)

Applicant: Howard Green, et al.  
Serial No: 09/359,920  
Filed : July 22, 1999  
For: TRANSGLUTAMINASE LINKAGE OF AGENTS TO TISSUE



**DECLARATION CLAIMING SMALL ENTITY STATUS  
(INDEPENDENT INVENTOR)**

As the below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled TRANSGLUTAMINASE LINKAGE OF AGENTS TO TISSUE described in

- ☐ the specification filed herewith  
☒ application serial no 09/359,920, filed July 22, 1999.  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ no such person, concern, or organization  
☒ persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME  
ADDRESS

☒ INDIVIDUALS

Howard Green  
82 Williston Street  
Brookline, MA 02146

George D. Corey  
65 Harding Street  
Newton, MA 02165

Bruce J. Compton  
30 Cottage Street  
Lexington, MA 02173

☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

PERICOR SCIENCE, INC.  
82 Williston Street  
Brookline, MA 02146



I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

\_\_\_\_\_  
**PHILIPPE DJIAN**  
**170 rue de la Convention**  
**75015 Paris, FRANCE**

*Philippe Djian*

\_\_\_\_\_  
SIGNATURE

*Sept 28, 1999*

\_\_\_\_\_  
DATE

Attorney's Docket No. H0535/7009 (ERG)

Applicant: Howard Green, et al.  
Serial No: 09/359,920  
Filed : July 22, 1999  
For: TRANSGLUTAMINASE LINKAGE OF AGENTS TO TISSUE



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(INDEPENDENT INVENTOR)**

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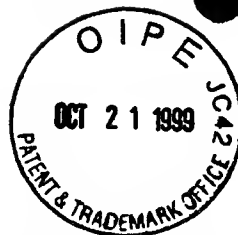
FULL NAME  
ADDRESS

**[X] INDIVIDUALS**

<b>Howard Green</b> 82 Williston Street Brookline, MA 02146	<b>Philippe Djian</b> 170 rue de la Convention 75015 Paris, FRANCE	<b>George D. Corey</b> 65 Harding Street Newton, MA 02165
---	--	---

**[X] SMALL BUSINESS CONCERN** ☐ **NONPROFIT ORGANIZATION**

**PERICOR SCIENCE, INC.**  
82 Williston Street  
Brookline, MA 02146



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\_\_\_\_\_  
**BRUCE J. COMPTON**  
30 Cottage Street  
Lexington, MA 02173

\_\_\_\_\_  
SIGNATURE

\_\_\_\_\_  
DATE

10/9/99

Attorney's Docket No. H0535/7009 (ERG)

Applicant: Howard Green, et al.  
Serial No: 09/359,920  
Filed: July 22, 1999  
For: TRANSGLUTAMINASE LINKAGE OF AGENTS TO TISSUE



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\_\_\_\_\_  
**HOWARD GREEN**  
82 Williston Street  
Brookline, MA 02146

*Howard Green*  
\_\_\_\_\_  
SIGNATURE

*9/29/99*  
\_\_\_\_\_  
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☒ application serial no 09/359,920, filed July 22, 1999.  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ no such person, concern, or organization  
☒ persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

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I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

**GEORGE D. COREY**

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SIGNATURE

  
DATE